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Amendments to the Claims

Please amend Claims 1-3, 8-13, and 18-29. Please cancel Claims 31-64 without prejudice to file a continuation patent application directed to those claims. The Claim Listing below will replace all prior versions of the claims in the application:

Claim Listing:

1 (Currently amended) A computer-implemented method for modeling a non-linear empirical industrial process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non-linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical industrial process.

(Currently amended) The method of Claim 1, wherein the step of creating the initial model
includes specifying a general shape of a gain trajectory for the non-linear empirical industrial
process.

- (Currently amended) The method of Claim 1, wherein the step of creating the initial model
 includes specifying a non-linear transfer function suitable for use in approximating the nonlinear empirical industrial process.
- 4. (Original) The method of Claim 3, wherein the non-linear network includes interconnected transformation elements and the step of constructing the non-linear network includes incorporating the non-linear transfer function into at least one transformation element.
- (Previously presented) The method of Claim 4, wherein the step of calibrating the non-linear model includes setting constraints by taking a bounded derivative of the non-linear transfer function.
- 6. (Original) The method of Claim 5, wherein the non-linear transfer function includes the log of a hyperbolic cosine function.
- 7. (Previously presented) The method of Claim 1, wherein the non-linear network model is based on a layered network architecture having a feedforward network of nodes with input/output relationships to each other, the feedforward network having transformation elements; each transformation element having a non-linear transfer function, a weighted input coefficient and a weighted output coefficient; and the step of calibrating the non-linear network model includes constraining the global behavior of the non-linear network model to a monotonic transformation based on the initial input by pairing the weighted input and output coefficients for each transformation element in a complementary manner to provide the monotonic transformation.
- 8. (Currently amended) The method of Claim 1, wherein the step of calibrating the non-linear network model comprises adjusting the calibration based on information provided by an advisory model that represents another model of the non-linear empirical industrial process that is different from the initial model, the non-linear network model, and the constrained model.
- 9. (Currently amended) The method of Claim 8, wherein the advisory model is a first principles model of the non-linear empirical industrial process.

10. (Currently amended) A computer-implemented method for modeling a non-linear empirical industrial process, and controlling a greater process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non-linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input, and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical industrial process, the non-linear empirical industrial process, the constrained model in a controller that controls the greater process.

11. (Currently amended) A computer apparatus for building a model for modeling a non-linear empirical industrial process, comprising:

a model creator for creating an initial model generally corresponding to the non-linear empirical <u>industrial</u> process to be modeled, the initial model having a base non-linear function, an initial input and an initial output, the global behavior being at least in regions of sparse initial input;

a model constructor coupled to the model creator for constructing a non-linear network model based on the initial model, the non-linear network model having multiple inputs based on the initial input and a global behavior for the non-linear network model as a whole that conforms generally to the initial output; and

a calibrator coupled to the model constructor for calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a

bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical industrial process.

- 12. (Currently amended) The computer apparatus of Claim 11, wherein the model creator specifies a general shape of a gain trajectory for the non-linear empirical industrial process.
- 13. (Currently amended) The computer apparatus of Claim 11, wherein the model creator specifies a non-linear transfer function suitable for use in approximating the non-linear empirical industrial process.
- 14. (Original) The computer apparatus of Claim 13, wherein the non-linear network includes interconnected transformation elements and the model constructor incorporates the non-linear transfer function into at least one transformation element.
- 15. (Previously presented) The computer apparatus of Claim 14, wherein the calibrator sets constraints by taking a bounded derivative of the non-linear transfer function.
- 16. (Original) The computer apparatus of Claim 15, wherein the non-linear transfer function includes the log of a hyperbolic cosine function.
- 17. (Previously presented) The computer apparatus of Claim 11, wherein the model constructor constructs the non-linear network model based on a layered network architecture having a feedforward network of nodes with input/output relationships to each other, the feedforward network having transformation elements, each transformation element having a non-linear transfer function, a weighted input coefficient and a weighted output coefficient; and

the calibrator constrains the global behavior of the non-linear network model to a monotonic transformation based on the initial input by pairing the weighted input and output coefficients for each transformation element in a complementary manner to provide the monotonic transformation.

18. (Currently amended) The computer apparatus of Claim 11, further comprising an advisory model that represents another model of the non-linear empirical <u>industrial</u> process that is different from the initial model, the non-linear network model, and the constrained model; and

wherein the calibrator adjusts the calibration based on information provided by the advisory model.

- 19. (Currently amended) The computer apparatus of Claim 18, wherein the advisory model is a first principles model of the non-linear empirical industrial process.
- (Currently amended) The computer apparatus of Claim 11, wherein the non-linear empirical industrial process is part of a greater process managed by a controller coupled to controller optimizer, and the controller optimizer communicates the constrained model to the controller for deployment in the controller.
- 21. (Currently amended) A computer program product that includes a computer usable medium having computer program instructions stored thereon for building a model for modeling a non-linear empirical <u>industrial</u> process, such that the computer program instructions, when performed by a digital processor, cause the digital processor to:

create an initial model generally corresponding to the non-linear empirical <u>industrial</u> process to be modeled, the initial model having a base non-linear function, an initial input and an initial output;

construct a non-linear network model based on the initial model, the non-linear network model having multiple inputs based on the initial input and a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrate the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated directly from model coefficients, the global properties used to produce, via a constrained nonlinear

optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical <u>industrial</u> process.

22. (Currently amended) A computer-implemented method for building a model for modeling a polymer process, said method comprising the steps of:

specifying a base non-linear function for an initial model generally corresponding to the polymer process to be modeled, the initial model including an initial input and an initial output and the base non-linear function including a log of a hyperbolic cosine function;

constructing a non-linear network model based on the initial model and including the base non-linear function, the non-linear network model having multiple inputs based on the initial input and a global behavior for the non-linear network model as a whole that conforms generally to the initial output; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical polymer process by using a bound on a derivative of the base non-linear function to constrain parameters of the model in order to produce a constrained model with global behavior, the constrained model providing optimized approximations to a process controller for controlling the polymer process.

23. (Currently amended) A computer apparatus for building a model for modeling a polymer process; comprising:

a model creator for specifying a base non-linear function for an initial model generally corresponding to the polymer process to be modeled, the initial model including an initial input and an initial output and the base non-linear function including a log of a hyperbolic cosine function;

a model constructor coupled to the model creator for constructing a non-linear network model based on the initial model and including the base non-linear function, the non-linear network model having multiple inputs based on the initial input and a global behavior for the non-linear network model as a whole that conforms generally to the initial output; and

a calibrator coupled to the model constructor for calibrating the non-linear network model based on empirical inputs of the non-linear empirical polymer process by using a

bound on a derivative of the base non-linear function to constrain parameters of the model in order to produce a constrained model with global behavior, the constrained model providing optimized approximations to a process controller for controlling the polymer process.

24. (Currently amended) A computer program product that includes a computer usable medium having computer program instructions stored thereon for building a model for modeling a polymer process, such that the computer program instructions, when performed by a digital processor, cause the digital processor to:

specify a base non-linear function for an initial model generally corresponding to the polymer process to be modeled, the initial model including an initial input and an initial output and the base non-linear function including a log of a hyperbolic cosine function;

construct a non-linear network model based on the initial model and including the base non-linear function, the non-linear network model having multiple inputs based on the initial input and a global behavior for the non-linear network model as a whole that conforms generally to the initial output; and

calibrate the non-linear network model based on empirical inputs of the non-linear empirical polymer process by using a bounded derivative of the base non-linear function to constrain the parameters of the model in order to produce a constrained model with global behavior, the constrained model providing optimized approximations to a process controller for controlling the polymer process.

25. (Currently amended) A computer-implemented method for modeling a non-linear empirical industrial process, the method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non-linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input or in regions of missing initial input; and

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calibrating the non-linear network model based on empirical inputs of the non-linear empirical <u>industrial</u> process by using a bound on a derivative of the base non-linear function to constrain parameters of the model to produce a constrained model with global behavior of the non-linear network model, the constrained model enabling precision control of the non-linear empirical <u>industrial</u> process.

26. (Currently amended) A computer implemented method for modeling a non-linear empirical industrial process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated and manipulated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non linear empirical industrial process.

27. (Currently amended) A computer implemented method for modeling a non-linear empirical industrial process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global

behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated and manipulated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical industrial process, and the model coefficients being manipulated by using a modified base non-linear function.

28. (Currently amended) A computer implemented method for modeling a non-linear empirical industrial process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical industrial process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated and manipulated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non-linear empirical industrial process, and the model coefficients being manipulated by using a modified base non-linear function that excludes at least one of a hyperbolic tangent function, a radial basis function, and a

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sigmoid function, the base non-linear function has a global minimum or a global maximum first derivative that is independent of the model coefficients.

29. (Currently amended) A computer implemented method for modeling a non-linear empirical industrial process, said method comprising the steps of:

creating an initial model generally corresponding to the non-linear empirical industrial process to be modeled, the initial model having a base non linear function, an initial input and an initial output;

constructing a non-linear network model based on the initial model, the non-linear network model having (a) multiple inputs based on the initial input and (b) a global behavior for the non-linear network model as a whole that conforms generally to the initial output, the global behavior being at least in regions of sparse initial input; and

calibrating the non-linear network model based on empirical inputs of the non-linear empirical <u>industrial</u> process by using a bound on an analytical derivative of the base non-linear function that allows global properties including at least a global minimum value and a global maximum value of the analytical derivatives to be calculated and manipulated directly from model coefficients, the global properties used to produce, via a constrained nonlinear optimization method, an analytically constrained model with global behavior, the constrained model enabling precision control of the non linear empirical <u>industrial</u> process, the global maximum and minimum values of the analytical derivatives both being a free function of the model coefficients.

30. (Previously presented) The computer implemented method of Claim 29, wherein the base nonlinear function excludes at least one of a hyperbolic tangent function, a radial basis function, a sigmoid function, and wherein a global minimum or a global maximum first derivative is independent of the model coefficients.

31-64. (Cancelled).